

North-East Family Office
Asset Management
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north-east.group

North-East strives to make a difference through fruitful partnerships. We bring together entrepreneurship and social responsibility to create value for people, business, and the whole world.

RTS 28 - 2019

Template for publication of the company's main trading partners:

Class of instrument:	Foreign Exchange				
Average less than one trade per trading day per year:	No				
Five main trading partners measured in turnover volume (in decreasing order).	Proportion of volume traded as a percentage of total in that class.	Proportion of orders executed as a percentage of total in that class.	Percentage of passive orders.	Percentage of aggressive orders.	Percentage of directed orders.
Lombard Odier & Co Geneva, Switzerland LEI-Code: 4BY1ZWG5DYMF1HP5KL86	98,5 %	98,6 %	0%	100 %	0 %
Lombard Odier & Co Montreal, Canada LEI-Code: 549300YDHGXIZ1ZGOF56	1,5 %	1,4 %	0 %	100 %	0 %

Class of instrument:	Bonds				
Average less than one trade per trading day per year:	Yes*				
Five main trading partners measured in turnover volume (in decreasing order).	Proportion of volume traded as a percentage of total in that class.	Proportion of orders executed as a percentage of total in that class.	Percentage of passive orders.	Percentage of aggressive orders.	Percentage of directed orders.
Name and LEI-code					

Class of instrument:	Equity				
Average less than one trade per trading day per year:	Yes*				
Five main trading partners measured in turnover volume (in decreasing order).	Proportion of volume traded as a percentage of total in that class.	Proportion of orders executed as a percentage of total in that class.	Percentage of passive orders.	Percentage of aggressive orders.	Percentage of directed orders.
Name and LEI-code					

Class of instrument:	Repos				
Average less than one trade per trading day per year:	Yes*				
Five main trading partners measured in turnover volume (in decreasing order).	Proportion of volume traded as a percentage of total in that class.	Proportion of orders executed as a percentage of total in that class.	Percentage of passive orders.	Percentage of aggressive orders.	Percentage of directed orders.
Name and LEI-code					

Class of instrument:	Derivatives				
Average less than one trade per trading day per year:	Yes*				
Five main trading partners measured in turnover volume (in decreasing order).	Proportion of volume traded as a percentage of total in that class.	Proportion of orders executed as a percentage of total in that class.	Percentage of passive orders.	Percentage of aggressive orders.	Percentage of directed orders.
Name and LEI-code					

* The five main trading partners are not disclosed if there is average less than one trade per trading day per year.

The template appears from the EU Commission Delegated Regulation 2017/576, Annex 2, Table II.



Glossary:

- a) Passive Order: An order is passive when a trading partner awaits a specific trading price for an asset before purchase / sale.
- b) Aggressive order: When a trading partner executes a purchase / sale order without waiting for a specific trading price.
- c) Directed order: When a customer asks to have an asset traded at a specific trading place.

LEI Code: A Legal Entity Identifier (LEI) code is a 20-digit code that uniquely identifies a legal entity involved in financial market transactions - across markets and legal systems. A LEI code can be issued to any legal entity. Branches or business entities that are not independent legal entities should initially use the parent's LEI code. Individuals cannot get a LEI code.

